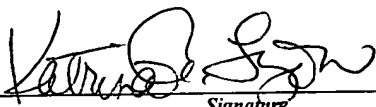



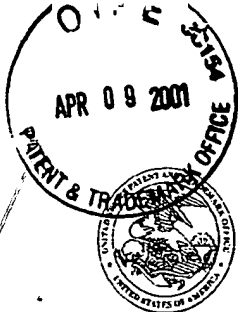
Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)			Docket No. 154616.1/MCS-051-00	
In Re Application Of: Yan et al.				
Serial No. 09/728,936	Filing Date 12/1/2000	Examiner Unknown	Group Art Unit Unknown	
Invention: System and Method for Face Recognition Using Synthesized Training Images				
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u> <p>This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on <u>1/12/2001</u> <i>Date</i></p> <p>Enclosed herewith for filing are the following:</p> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED) <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. <input checked="" type="checkbox"/> A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date. <input type="checkbox"/> An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date. <input type="checkbox"/> A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. <input checked="" type="checkbox"/> Other (list): <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p>Credit Card Payment Form for Petition for Recordation the Amount of \$40.00</p> <p>Credit Card Payment Form for Filing Fees and Missing Parts Fee and Fees for One Month Extension of Time in the Amount of \$1004.00</p> <p>Assignment (2 pages)</p> <p>Recordation Cover Sheet (2 pages)</p> <p>Return Receipt Postcard</p> </div>				

04/10/2001 WABRHM1 00000073 09728936

01 FC:101 02 FC:103 03 FC:105 04 FC:115	710.00 OP 54.00 OP 130.00 OP 110.00 OP
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Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1153) (Large Entity)		Docket No. 154616.1/MCS-051-00	
In Re Application Of: Yan et al.			
Serial No. 09/728,936	Filing Date 12/1/2000	Examiner Unknown	Group Art Unit Unknown
Invention: System and Method for Face Recognition Using Synthesized Training Images			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u>			
<input checked="" type="checkbox"/> Completion of application fees as calculated below:			
<input checked="" type="checkbox"/> Utility application filing fee			\$710.00
<input type="checkbox"/> Design application filing fee			
<input type="checkbox"/> Total number of independent claims = _____			
<input checked="" type="checkbox"/> Total number of claims = <u>23</u>			\$54.00
<input type="checkbox"/> Multiple dependent claims			
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath			\$130.00
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the invention			
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification			
<input type="checkbox"/> Fee for processing and retention of application			
Total completion of application fees			\$894.00
<p>This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.</p>			
<input checked="" type="checkbox"/> One month <input type="checkbox"/> Two months <input type="checkbox"/> Three months <input type="checkbox"/> Four months <input type="checkbox"/> Five months			
from: <u>3/12/01</u> <div style="text-align: center; font-size: small;">Date</div>		until: <u>4/12/01</u> <div style="text-align: center; font-size: small;">Date</div>	
Total time extension fees			\$110.00
Total fees due			\$1,004.00

Response To Notice To File Missing Parts Of Application Filing Date Granted (PTO-1533) (Large Entity)			Docket No. 154616.1/MCS-051-00
In Re Application Of: Yan et al.			
Serial No. 09/728,936	Filing Date 12/1/2000	Examiner Unknown	Group Art Unit Unknown
Invention: System and Method for Face Recognition Using Synthesized Training Images			
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <u>Box Missing Parts</u>			
<p>The fee of \$1,004 is to be paid as follows:</p> <p><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input type="checkbox"/> The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.</p> <p style="padding-left: 20px;">A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.</p> <p style="padding-left: 20px;">A duplicate copy of this sheet is enclosed.</p>			
 <div style="text-align: right; font-size: small;">Signature</div>		Dated: 4/3/2001	
Katrina A. Lyon, Reg. No. 42,821 Lyon Harr & Defrank, LLP 300 Esplanade Drive Suite 800 Oxnard, CA 93030 (805) 278-8855		<div style="border: 1px solid black; padding: 5px;"> I certify that this document and fee is being deposited on 4/3/2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.  <div style="text-align: right; font-size: small;">Signature of Person Mailing Correspondence</div> Katrina A. Lyon <div style="text-align: right; font-size: small;">Typed or Printed Name of Person Mailing Correspondence</div> </div>	
CC:			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,936	12/01/2000	Jie Yan	154616.1/MCS-051-00

CONFIRMATION NO. 2817

FORMALITIES LETTER



OC000000005673580

Richard T. Lyon
Lyon, Harr & Defrank, LLP
300 Esplanade Drive, Suite 800
Oxnard, CA 93030

Date Mailed: 01/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2001 WABRHM1 00000073 09728936

710.00 DP
54.00 DP
130.00 DP
110.00 DP
01 FC:101
02 FC:103
03 FC:105
04 FC:115